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Attorney's Docket No.: 10559-562001 / P127L

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Richard A. Forand  
Serial No. : 10/041,754  
Filed : January 7, 2002  
Title : INTERACTIVE USER SELECTION OF ACOUSTIC MODEL WITHIN AN  
ADVANCED SPEECH RECOGNITION SYSTEM

Art Unit : 2641  
Examiner : Unknown

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed February 4, 2002 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$740;
- ☒ Payment of the additional/multiple dependent claims fees of \$228;
- ☒ Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- ☒ A check in the total amount of \$1098 is attached;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

April 1, 2002

Date of Deposit

*Deborah K. Wager*  
Signature

Deborah K. Wager

Typed or Printed Name of Person Signing Certificate

Applicant : Richard A. Forand  
Serial No. : 10/041,754  
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Page : 2

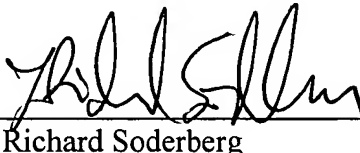
Attorney's Docket No.: 10559-562001 / P12717

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

4/1/02



\_\_\_\_\_  
J. Richard Soderberg  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/041,754	01/07/2002	Richard A. Forand	10559-562001

CONFIRMATION NO. 3206

## FORMALITIES LETTER



\*OC000000007415302\*

SCOTT C. HARRIS  
Fish & Richardson P.C., P.A.  
Suite 500  
4350 La Jolla Village Drive  
San Diego, CA 92122

Date Mailed: 02/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$228.
  - \$144 for 8 total claims over 20.
  - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1098.

04/17/2002 WABRHH1 00000014 10041754

01 FC:101	740.00 OP
02 FC:103	144.00 OP
03 FC:102	84.00 OP
04 FC:105	130.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE